

2132



THE UNITED STATES PATENT AND TRADEMARK OFFICE

1  
2 Application Serial No. .... 09/266,207  
3 Filing Date ..... 3/10/1999  
4 Inventorship ..... England et al.  
5 Group Art Unit.....  
6 Examiner.....  
7 Applicant..... Microsoft Corporation  
8 Attorney's Docket No. .... MS1-282USC6  
9 Title: System and Method for Authenticating an Operating System to a Central Processing Unit, Providing  
10 the CPU/OS With Secure Storage, and Authenticating the CPU/OS to a Third Party  
11

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TRANSMITTAL LETTER AND CERTIFICATE OF MAILING

JUN 12 2001

Technology Center 2100

12 To: The Commissioner of Patents & Trademarks  
13 Washington, D.C. 20231  
14 From: Allan T. Sponseller (Tel. 509-324-9256; Fax 509-323-8979)  
15 Customer No. 22801



16 The following enumerated items accompany this transmittal letter and are being submitted for the  
17 matter identified in the above caption.

- 18 1. Transmittal letter including Certificate of Mailing  
19 2. Information Disclosure Statement, Form PTO-1449 and 39 cited references  
20 3. Return Post Card

21 Large Entity Status [x] Small Entity Status [ ]

22 *Applicant hereby requests an extension of time in any case such an extension is necessary. The fee*  
23 *should be charged to the Deposit Account indicated below.*

24 The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit  
25 Account No. 12-0769 in connection with any patent application filing fees under 37 CFR 1.16, and any  
processing fees, including any necessary extension fees under 37 CFR 1.17.

19 Date: 6/7/01 By: [Signature]  
20 Allan T. Sponseller  
21 Reg. No. 38,318

CERTIFICATE OF MAILING

21 I hereby certify that the items listed above as enclosed are being deposited with the U.S. Postal  
22 Service as either first class mail, or Express Mail if the blank for Express Mail No. is completed below, in  
23 an envelope addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the  
below-indicated date. Any Express Mail No. has also been marked on the listed items.

24 Express Mail No. (if applicable) \_\_\_\_\_  
25 Date: 6/7/01 By: [Signature]  
LeAnn M. Sassman



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Authenticating the CPU/OS to a Third Party

#7  
KWS  
6-13-01

**INFORMATION DISCLOSURE STATEMENT**

*References -- See Attached Form PTO-1449*

**REMARKS**

The citations listed, copies attached, are submitted in compliance with the duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

Applicant believes that no fees are due for submitting this Information Disclosure Statement. However, if any fees are due pursuant to 37 CFR §1.97(c), please charge such fees to Deposit Account No. 12-0769.

Respectfully Submitted,

Date: 6/7/01

By: [Signature]  
Allan T. Sponseller  
Reg. No. 38,318

Form PTO-1445 <div style="border: 2px solid black; border-radius: 50%; padding: 10px; display: inline-block; text-align: center;"> <b>OTPE JC187</b>  <b>JUN 11 2001</b>  <b>PATENT &amp; TRADEMARK OFFICE</b> </div>		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. MS1-282USC6		SERIAL NO. 09/266,207	
LIST OF ART CITED BY APPLICANT (Use several sheets if necessary)				APPLICANT Microsoft Corporation		FILING DATE 3/10/1999	
				GROUP			

U.S. PATENT DOCUMENTS							
*Examiner Initial	Class	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
MS	A	4,969,189	11/06/90	Ohta et al.			
MS	B	5,140,634	08/18/92	Guillou et al.			
MS	C	5,276,311	01/04/94	Hennige			
MS	D	5,473,690	12/05/95	Grimonprez et al.			
MS	E	5,544,246	08/06/96	Mandelbaum et al.			
MS	F	5,953,502	09/14/99	Helbig, Sr.			
MS	G	6,009,274	12/28/99	Fletcher et al.			
MS	H	5,991,876	11/23/99	Johnson et al.			
MS	I	5,796,824	08/18/98	Hasebe et al.			
MS	J	5,473,692	12/05/95	Davis			
MS	K	5,812,980	09/22/98	Asai			

FOREIGN PATENT DOCUMENTS								
Class	Subclass	Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No

OTHER PRIOR ART (including Author, Title, Date, Pertinent Pages, Etc.)			
MS	E		Abadi et al., "Authentication and Delegation with Smart-cards", July 30, 1992, 30 pages
MS	F		Murphy et al., "Preventing Piracy: Authorization Software May Ease Hollywood's Fear of the Net", Internet World Magazine, April 1, 2000, 3 pages.
MS	G		"Internet Security: SanDisk Products and New Microsoft Technology Provide Copy Protected Music for Internet Music Player Market. (Product Announcement)", Edge: Work Group Computing Report, April 19, 1999, 2 pages
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EXAMINER <div style="font-size: 2em; font-family: cursive;">MS</div>	DATE CONSIDERED <div style="font-size: 1.5em; font-family: cursive;">Sept 11, 02</div>
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<div style="display: flex; align-items: center; justify-content: center;"> <div style="border: 2px solid black; border-radius: 50%; padding: 10px; text-align: center; margin-right: 10px;"> O I P E JUN 11 2001 PATENT &amp; TRADEMARK OFFICE </div> <div> LIST OF ART CITED BY APPLICANT  (Use several sheets if necessary) </div> </div>				<div style="display: flex; justify-content: space-between;"> <div style="width: 60%;"> APPLICANT Microsoft Corporation </div> <div style="width: 30%;"> FILING DATE 3/10/1999 </div> </div>			
				GROUP			
U.S. PATENT DOCUMENTS							
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
MS	A	5,812,662	09/22/98	Hsu et al.			
MS	B	5,654,746	08/05/97	McMullan, Jr. et al.			
MS	C	6,009,401	12/28/99	Horstmann			
MS	D	5,892,900	04/06/99	Ginter			
MS	E	6,073,124	06/06/00	Krishnan et al.			
MS	F	5,933,498	08/03/99	Schneck et al.			
MS	G	6,006,332	12/21/99	Rabne et al.			
MS	H	5,721,781	02/24/98	Deo et al.			
MS	I	6,148,402	11/14/00	Campbell			
MS	J	4,827,508	05/02/89	Shear			
MS	K	4,977,594	12/11/90	Shear			
FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Subclass	Translation
							Yes No
OTHER PRIOR ART (including Author, Title, Date, Pertinent Pages, Etc.)							
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EXAMINER <i>Railson</i>				DATE CONSIDERED <i>Sept 11, 02</i>			

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				FILING DATE 3/10/1999			
U.S. PATENT DOCUMENTS							
*Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
M3	A	5,050,213	09/17/91	Shear			
M8	B	5,410,598	04/25/95	Shear			
M8	C	5,915,019	06/22/99	Ginter et al.			
M8	D	5,917,912	06/29/99	Ginter et al.			
M8	E	5,920,861	07/06/99	Hall et al.			
M8	F	5,910,987	06/08/99	Ginter et al.			
M8	G	5,940,504	08/17/99	Griswold			
M8	H	5,943,422	08/24/99	Van Wie et al.			
M8	I	5,949,876	09/07/99	Ginter et al.			
M8	J	5,982,891	11/09/99	Ginter et al.			
M8	K	6,112,181	08/29/00	Shear et al.			
FOREIGN PATENT DOCUMENTS							
	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
OTHER PRIOR ART (including Author, Title, Date, Pertinent Pages, Etc.)							
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EXAMINER				DATE CONSIDERED			
				Sept 11/02			
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Form PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. MS1-282USC6		SERIAL NO. 09/266,207	
<div style="text-align: center;">  </div>				LIST OF ART CITED BY APPLICANT (Use several sheets if necessary)		APPLICANT Microsoft Corporation	
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U.S. PATENT DOCUMENTS							
*Examiner Initial	A	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
<i>MS</i>		6,157,721	12/05/00	Shear et al.			
<i>MS</i>	B	6,138,119	10/24/00	Hall et al.			
<i>MS</i>	C	6,185,683	02/06/01	Ginter et al.			
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FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Subclass	Translation
							Yes No
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EXAMINER				DATE CONSIDERED			
<i>Nilesh B. Ghosh</i>				<i>Sept 11, 02</i>			
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